



Attorney Docket No. 3287CPA

B7C
1/28/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

THOMAS A. MAZZA ET AL.

SERIAL NO.: 09/733,141

FILED: 12/08/2000

FOR: TRADING SYSTEM CONTROLLER

Group Art Unit: 2676

Examiner: H. Nguyen

Attorney Docket No. 3287CPA

PRELIMINARY RULE 115 AMENDMENT

Please accept this Preliminary Rule 115 Amendment being filed in connection with a continuing patent application (CPA) filed with respect to the above-referenced patent application.

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

IN THE CLAIMS:

1. (Currently amended) A control unit sized to permit it to be hand-held during the performance of trading operations, the control unit being in electrical communication with one or more computers for use in performing electronic trading operations, the one or more computers communicating with at least one visual display for displaying to an operator of the control unit trading-related information, comprising:
trading control buttons having differing shapes, sizes and/or textures to